

PTO/SB/17 (11-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 10/01/2004. Patent fees are subject to annual revision.

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**1456****Complete if Known**

|                      |                 |
|----------------------|-----------------|
| Application Number   | 10/820,163      |
| Filing Date          | April 6, 2004   |
| First Named Inventor | Roger C. Bowser |
| Examiner Name        | Unknown         |
| Art Unit             | 2821            |
| Attorney Docket No.  | 220-009-2       |

**METHOD OF PAYMENT** (check all that apply)☒ Check ☐ Credit Card ☐ Money Order☒ Deposit Account ☐ NoneDeposit  
Account  
Number  
Deposit  
Account  
Name**501324**

The Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, **except for the filing fee**☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☐ Credit any overpayments

to the above-identified deposit account.

☐ Other (please identify):**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING FEE**

| Fee Description        | Fee (\$) | Small Entity Fee (\$) | Fee Paid(\$) |
|------------------------|----------|-----------------------|--------------|
| Utility Filing Fee     | 790      | 395                   | <b>395</b>   |
| Design Filing Fee      | 350      | 175                   |              |
| Plant Filing Fee       | 550      | 275                   |              |
| Reissue Filing Fee     | 790      | 395                   |              |
| Provisional Filing Fee | 160      | 80                    |              |

**Subtotal (1) \$ 395****FEE CALCULATION** (continued)**2. EXTRA CLAIM FEES**

| Fee Description   | Fee (\$) | Small Entity Fee (\$) |
|---|----------|-----------------------|
| Each claim over 20  | 18       | 9                     |
| Each independent claim over 3   | 88       | 44                    |
| Multiple dependent claims   | 300      | 150                   |
| For Reissues, each claim over 20 and more than in the original patent | 18       | 9                     |
| For Reissues, each independent claim more than in the original patent | 88       | 44                    |

| Total Claims | Extra Claims    | Fee (\$) | Fee Paid (\$) |
|--------------|-----------------|----------|---------------|
| 42           | - 20 or HP = 22 | x 9      | = 198         |

HP = highest number of total claims paid for, if greater than 20

| Indep. Claims | Extra Claims  | Fee (\$) | Fee Paid (\$) |
|---------------|---------------|----------|---------------|
| 10            | - 3 or HP = 7 | x 44     | = 308         |

HP = highest number of independent claims paid for, if greater than 3

| Multiple Dependent Claims | Fee (\$) | Fee Paid (\$) |
|---------------------------|----------|---------------|
|                           |          |               |

**Subtotal (2) \$ 506****3. OTHER FEES**

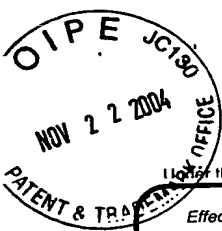
| Fee Description                     | Fee (\$) | Small Entity Fee (\$) | Fee Paid(\$) |
|-------------------------------------|----------|-----------------------|--------------|
| 1-month extension of time           | 110      | 55                    |              |
| 2-month extension of time           | 430      | 215                   |              |
| 3-month extension of time           | 980      | 490                   | <b>490</b>   |
| 4-month extension of time           | 1,530    | 765                   |              |
| 5-month extension of time           | 2,080    | 1,040                 |              |
| Information disclosure stmt. fee    | 180      | 180                   |              |
| 37 CFR 1.17(q) processing fee       | 50       | 50                    |              |
| Non-English specification           | 130      | 130                   |              |
| Notice of Appeal                    | 340      | 170                   |              |
| Filing a brief in support of appeal | 340      | 170                   |              |
| Request for oral hearing            | 300      | 150                   |              |
| Other:                              |          |                       |              |

**Subtotal (3) \$ 490****SUBMITTED BY**

|  |                         |                               |
|--|-------------------------|-------------------------------|
| Signature                                    | Registration No. 32,444 | Telephone (602) 956-6161      |
| Name (Print/Type) <b>Stephen T. Sullivan</b> | (Attorney/Agent)        | Date <b>November 22, 2004</b> |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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TOTAL AMOUNT OF PAYMENT (\$ ) 1456

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|----------------------|-----------------|
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| Filing Date          | April 6, 2004   |
| First Named Inventor | Roger C. Bowser |
| Examiner Name        | Unknown         |
| Art Unit             | 2821            |
| Attorney Docket No.  | 220-009-2       |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order

☒ Deposit Account ☐ None

Deposit  
Account  
Number  
Deposit  
Account  
Name

501324

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Subtotal (1) \$ 395

## FEE CALCULATION (continued)

### 2. EXTRA CLAIM FEES

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Total Claims Extra Claims Fee (\$) Fee Paid (\$)  
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Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)  
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Multiple Dependent Claims Fee (\$) Fee Paid (\$)

Subtotal (2) \$ 506

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| Fee Description                     | Fee (\$) | Small Entity Fee (\$) | Fee Paid (\$) |
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| Request for oral hearing            | 300      | 150                   |               |
| Other:                              |          |                       |               |

Subtotal (3) \$ 490

## SUBMITTED BY

|                                       |  |                          |
|---------------------------------------|--|--------------------------|
| Signature                             | Registration No. 32,444 (Attorney/Agent) | Telephone (602) 956-6161 |
| Name (Print/Type) Stephen T. Sullivan |  | Date November 22, 2004   |

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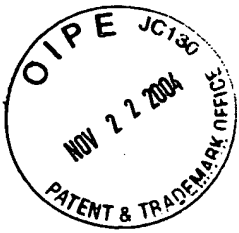
**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EL 988282983 US

Date of Deposit: November 22, 2004

I hereby certify that this Petition for Extension of Time, Fee Transmittal for FY 2005 (2 copies) and check are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sarah DeLaRosa



PATENT  
Docket No. 220-009-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                             |   |                      |
|-----------------------------|---|----------------------|
| In re Application of:       | ) |                      |
|                             | ) | Preliminary          |
| Roger C. Bowser et al.      | ) | Group Art Unit: 2821 |
|                             | ) |                      |
| Entitled: "LIGHTING DEVICE, | ) | Examiner: Unknown    |
| COMPONENTS THEREFOR, AND    | ) |                      |
| METHOD OF MANUFACTURE"      | ) |                      |
|                             | ) |                      |
| Serial No.: 10/820,163      | ) |                      |
|                             | ) |                      |
| Filed: April 6, 2004        | ) |                      |

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

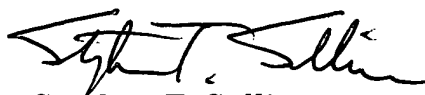
Applicants hereby respond to the Notice to File Missing Parts of Nonprovisional Application mailed on June 22, 2004, the period for response having been extended three months to November 22, 2004. Attached hereto and submitted herewith are the return copy of the Notice, a Petition for Extension of Time, and a check to cover the petition fee and the fees identified in the Notice.

This application qualifies under the small entity provision of 37 C.F.R. § 1.27, and Applicant hereby claims status thereunder. The attached check for \$1,449.00 is attached to cover the filing fee (\$395), the fee for a three month extension of time

(\$490), late declaration surcharge (\$65) and additional claims fee (\$506). If there are any other fees due in connection with this application, please charge the fees to our Deposit Account No. 501324.

Dated: November 22, 2004

Respectfully submitted,



Stephen T. Sullivan  
Reg. No. 32,444

### **CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EL 988282983 US

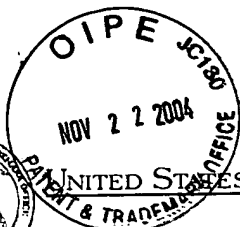
Date of Deposit: November 22, 2004

I hereby certify that this Response to Notice to File Missing Parts of Nonprovisional Application with the return copy of the Notice, Fee Transmittal for FY 2005 (2 copies) and check are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R.

§ 1.10 on the date indicated above and is addressed to MS MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IFW



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/820,163         | 04/06/2004             | Roger C. Bowser       | 220-009-2              |

CONFIRMATION NO. 7030

## FORMALITIES LETTER



\*OC000000013020664\*

33461  
 SULLIVAN LAW GROUP  
 1850 NORTH CENTRAL AVENUE  
 SUITE 1140  
 PHOENIX, AZ 85004

Date Mailed: 06/22/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$499 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$949 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$499

11/26/2004 CCHAU1 00000055 10820163

01 FC:2001  
 02 FC:2051  
 03 FC:2202  
 04 FC:2201

395.00 OP  
 55.00 OP  
 198.00 OP  
 308.00 OP

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JUN 28 2004

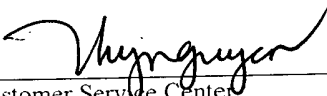
SULLIVAN LAW GROUP  
 ATTORNEYS AT LAW

- \$301 for 7 independent claims over 3.
- \$198 for 22 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY